

VIA eFILE

Form PTO-1449

Applicant: Anthon Pantages et al.

Serial No.: 10/786,444

Filing Date: February 24, 2004

For: SHEATH APPARATUS AND METHODS FOR DELIVERING

A CLOSURE DEVICE

Sheet 1 of 32

Confirmation No.: 4902

Att'y Docket No.: 16497.4.1

Art Unit: 3734

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____1	287,046	10/23/1883	Norton
____2	1,088,393	02/24/1914	Backus
____3	1,331,401	02/17/1920	Summers
____4	2,087,074	07/13/1937	Tucker
____5	2,453,227	11/09/1948	James
____6	2,684,070	07/20/1954	Kelsey
____7	2,944,311	07/12/1960	Schneckenberger
____8	2,969,887	01/31/1961	Darmstadt et al.
____9	3,015,403	01/02/1962	Fuller
____10	3,142,878	08/04/1967	Santora
____11	3,482,428	12/09/1969	Kapitanov et al.
____12	3,586,002	06/22/1971	Wood

Examiner: /Diane Yabut/ (04/16/2008)

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____13	3,604,425	09/14/1971	Le Roy
____14	3,677,243	09/24/1971	Nerz
____15	3,757,629	09/11/1973	Schneider
____16	3,805,337	04/23/1974	Branstetter
____17	3,944,114	03/16/1976	Coppens
____18	4,014,492	03/29/1977	Rothfuss
____19	4,192,315	03/11/1980	Hilzinger et al.
____20	4,207,870	06/17/1980	Eldridge
____21	4,217,902	08/19/1980	March
____22	4,278,091	07/14/1981	Borzone
____23	4,318,401	03/09/1982	Zimmerman
____24	4,345,606	08/24/1982	Littleford
____25	4,396,139	08/02/1983	Hall et al.
____26	4,411,654	10/25/1983	Boarini et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____27	4,412,832	11/01/1983	Kling et al.
____28	4,428,376	01/31/1984	Mericle
____29	4,485,816	12/04/1984	Krumme
____30	4,505,273	03/19/1985	Braun et al.
____31	4,523,695	06/18/1985	Braun et al.
____32	4,525,157	06/25/1985	Valaincourt
____33	4,607,638	08/26/1986	Crainich
____34	4,610,251	09/09/1986	Kumar
____35	4,610,252	09/09/1986	Catalano
____36	4,665,906	05/19/1987	Jervis
____37	4,724,840	02/16/1988	McVay et al
____38	4,738,658	04/19/1988	Magro et al.
____39	4,744,364	05/17/1988	Kensey
____40	4,771,782	09/20/1988	Millar

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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
____41	4,789,090	12/06/1988	Blake, III
____42	4,832,688	05/23/1989	Sagae et al.
____43	4,836,204	06/06/1989	Landymore et al.
____44	4,852,568	08/01/1989	Kensey
____45	4,874,122	10/17/1989	Froelich et al.
____46	4,878,915	11/07/1989	Brantigan
____47	4,887,601	12/19/1989	Richards
____48	4,934,364	06/19/1990	Green
____49	5,015,247	05/14/1991	Michelson
____50	5,021,059	06/04/1991	Kensey et al.
____51	5,061,274	10/29/1991	Kensey
____52	5,100,418	03/31/1992	Yoon et al.
____53	5,108,421	04/28/1992	Fowler
____54	5,114,032	05/19/1992	Laidlaw

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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
____55	5,114,065	05/19/1992	Storage
____56	5,131,379	07/21/1992	Sewell, Jr.
____57	5,147,381	09/15/1992	Heimerl et al.
____58	5,167,634	12/01/1992	Corrigan, Jr. et al.
____59	5,171,249	12/15/1992	Stefanchik et al.
____60	5,192,300	03/09/1993	Fowler
____61	5,192,301	03/09/1993	Kamiya et al.
____62	5,209,756	05/11/1993	Seedhorn et al.
____63	5,243,857	02/28/1995	Velez
____64	5,246,156	09/21/1993	Rothfuss et al.
____65	5,246,443	09/21/1993	Mai
____66	5,290,243	03/01/1994	Chodorow et al.
____67	5,290,310	03/01/1994	Makower et al.
____68	5,292,309	03/08/1994	Van Tassel et al.

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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
____69	5,292,332	03/08/1994	Lee
____70	5,306,254	04/26/1994	Nash et al.
____71	5,320,639	06/14/1994	Rudnick
____72	5,334,216	08/02/1994	Vidal et al.
____73	5,350,399	09/27/1994	Erlebacher et al.
____74	5,352,229	10/04/1994	Goble et al.
____75	5,366,479	11/22/1994	McGarry et al.
____76	5,392,978	02/28/1995	Valez et al.
____77	5,395,030	03/07/1995	Kuramoto et al.
____78	5,411,520	05/02/1995	Nash et al.
____79	5,413,571	05/09/1995	Katsaros et al.
____80	5,413,584	05/09/1995	Schulze
____81	5,416,584	05/16/1995	Kay
____82	5,417,699	05/23/1995	Klein et al.

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<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
____83	5,423,857	06/13/1995	Rosenman et al.
____84	5,431,639	07/11/1995	Shaw
____85	5,443,481	08/22/1995	Lee
____86	5,456,400	10/10/1995	Shichman et al.
____87	5,470,010	11/28/1995	Rothfuss et al.
____88	5,474,557	12/12/1995	Mai
____89	5,478,352	12/26/1995	Fowler
____90	5,478,353	07/18/1994	Yoon et al.
____91	5,486,195	01/23/1996	Myers et al.
____92	5,507,755	04/16/1996	Gresl et al.
____93	5,522,840	06/04/1996	Krajicek
____94	5,536,251	07/16/1996	Evard et al.
____95	5,544,802	08/13/1996	Crainich
____96	5,560,532	10/01/1996	DeFonzo et al.

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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
____97	5,591,205	01/07/1997	Fowler
____98	5,601,602	02/11/1997	Fowler
____99	5,620,461	04/15/1997	Muijs et al.
____100	5,643,318	07/01/1997	Tsukernik et al.
____101	5,645,565	07/08/1997	Rudd et al.
____102	5,645,566	07/08/1997	Brenneman et al.
____103	5,645,567	07/08/1997	Crainich
____104	5,676,689	10/14/1997	Kensey et al.
____105	5,676,974	10/14/1997	Valdes et al.
____106	5,690,674	11/25/1997	Diaz
____107	5,695,504	12/09/1997	Gifford, III et al.
____108	5,716,375	02/10/1998	Fowler
____109	5,725,554	03/10/1998	Simon et al.
____110	5,728,114	03/17/1998	Evans et al.

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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
____111	5,728,122	03/17/1998	Leschinsky et al.
____112	5,728,132	03/17/1998	Van Tassel et al.
____113	5,732,872	03/31/1998	Bolduc et al.
____114	5,810,776	09/22/1998	Bacich et al.
____115	5,855,312	01/05/1999	Toledano
____116	5,861,005	01/19/1999	Kontos
____117	5,871,474	02/16/1999	Hermann et al.
____118	5,871,501	02/16/1999	Leschinsky et al.
____119	5,871,525	02/16/1999	Edwards et al.
____120	5,902,310	05/11/1999	Foerster et al.
____121	5,904,697	05/18/1999	Gifford, III et al.
____122	5,935,147	08/10/1999	Kensey et al.
____123	5,941,890	08/24/1999	Voegelé et al.
____124	5,984,949	11/16/1999	Levin

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____125	5,993,468	11/30/1999	Rygaard
____126	6,004,341	12/21/1999	Zhu et al.
____127	6,022,372	02/08/2000	Kontos
____128	6,030,364	02/29/2000	Durgin et al.
____129	6,048,358	04/11/2000	Barak
____130	6,056,768	05/02/2000	Cates et al.
____131	6,090,130	07/18/2000	Nash et al.
____132	6,110,184	08/29/2000	Weadock
____133	6,117,148	09/12/2000	Ravo
____134	6,149,660	11/21/2000	Laufer et al.
____135	6,149,667	11/21/2000	Hovland et al.
____136	6,197,042	03/06/2001	Ginn et al.
____137	6,277,140	08/21/2001	Ginn et al.
____138	6,287,322	09/11/2001	Zhu et al.

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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
____139	6,305,891	10/23/2001	Burlingame
____140	6,322,580	11/27/2001	Kanner
____141	6,334,865	01/01/2002	Redmond et al.
____142	6,348,064	02/19/2002	Kanner
____143	6,391,048	05/21/2002	Ginn et al.
____144	6,398,752	06/04/2002	Sweezer et al.
____145	6,402,765	06/11/2002	Monassevitch et al.
____146	6,409,739	06/25/2002	Nobles et al.
____147	6,428,548	08/06/2002	Durgin et al.
____148	6,443,158	09/03/2002	Lafontaine et al.
____149	6,450,391	09/17/2002	Kayan et al.
____150	6,461,364	10/08/2002	Ginn et al.
____151	6,488,692	12/03/2002	Spence et al.
____152	6,506,210	01/14/2003	Kanner

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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
____153	6,533,762	03/18/2003	Kanner et al.
____154	6,547,806	04/15/2003	Ding
____155	6,582,452	06/24/2003	Coleman et al.
____156	6,602,263	08/05/2003	Swanson et al.
____157	6,616,686	09/09/2003	Coleman et al.
____158	6,623,510	09/23/2003	Carley et al.
____159	6,626,918	09/30/2003	Ginn et al.
____160	6,632,238	10/14/2003	Ginn et al.
____161	6,634,537	10/21/2003	Chen
____162	6,645,205	11/11/2003	Ginn
____163	6,652,538	11/25/2003	Kayan et al.
____164	6,669,714	12/30/2003	Coleman et al.
____165	6,679,904	01/20/2004	Gleeson et al.
____166	6,695,867	02/24/2004	Ginn et al.

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____167	6,719,777	04/13/2004	Ginn et al.
____168	6,726,704	04/27/2004	Loshakove et al.
____169	6,749,621	06/15/2004	Pantages et al.
____170	6,755,842	06/29/2004	Kanner et al.
____171	6,767,356	07/27/2004	Kanner et al.
____172	6,780,197	02/21/2002	Carley et al.
____173	6,926,731	08/09/2005	Coleman et al.
____174	6,942,674	09/13/2005	Belef et al.
____175	7,001,398	02/21/2006	Carley et al.
____176	7,108,709	09/19/2006	Cummins
____177	7,111,768	09/26/2006	Cummins et al.
____178	7,163,551	01/16/2007	Anthony et al.
____179	7,211,101	05/01/2007	Carley et al.
____180	RE 34,866	02/21/1995	Kensley et al.

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____181	2001/0007077	07/05/2001	Ginn et al.
____182	2001/0031972	10/18/2001	Robertson et al.
____183	2001/0047180	11/29/2001	Grudem et al.
____184	2002/0026208	02/28/2002	Belef
____185	2002/0026215	02/28/2002	Redmond et al.
____186	2002/0049427	04/25/2002	Wiener et al.
____187	2002/0049472	04/25/2002	Coleman et al.
____188	2002/0072768	06/13/2002	Ginn
____189	2002/0077657	06/20/2002	Ginn et al.
____190	2002/0082641	06/27/2002	Ginn et al.
____191	2002/0107542	08/08/2002	Kanner et al.
____192	2002/0133193	09/19/2002	Ginn et al.
____193	2002/0151921	10/17/2002	Kanner et al.
____194	2002/0193808	12/19/2002	Belef et al.

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Examiner <u>Initial</u> *	Document <u>Number</u>	<u>Date</u>	<u>Name</u>
____195	2003/0004543	01/02/2003	Gleeson et al.
____196	2003/0009180	01/09/2003	Hinchliffe et al.
____197	2003/0078598	04/24/2003	Ginn et al.
____198	2003/0093096	05/15/2003	McGuckin et al.
____199	2003/0097140	05/22/2003	Kanner
____200	2003/0109890	06/12/2003	Kanner et al.
____201	2003/0125766	07/03/2003	Ding
____202	2003/0158577	08/21/2003	Pantages et al.
____203	2003/0158578	08/21/2003	Pantages et al.
____204	2003/0195561	10/16/2003	Carley et al.
____205	2004/0009289	01/15/2004	Carley et al.
____206	2004/0010285	01/15/2004	Carley et al.
____207	2004/0039414	02/26/2004	Carley et al.
____208	2004/0073236	04/15/2004	Carley et al.

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____209	2004/0073255	04/15/2004	Ginn et al.
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____223	2006/0144479	07/06/2006	Carley et al.
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(including author, title, pertinent pages, etc.)

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____315	2003/0078598	Notice of Allowance	07/02/2007
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____324	2004/0153123	Office Action	09/22/2006
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____326	2004/0153123	Office Action	09/18/2007
____327	2004/0153122	Office Action	11/30/2005
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____329	2004/0153122	Office Action	02/13/2007
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____334	2004/0073236	Office Action	05/02/2007
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____347	6,197,042	Notice of Allowance	11/06/2000

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____348	6,197,042	Issue Notification	02/15/2001
____349	6,277,140	Office Action	03/26/2001
____350	6,277,140	Notice of Allowance	06/04/2001
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____353	6,391,048	Office Action	09/05/2001
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____412	7,211,101	Notice of Allowance	12/27/2006
____413	7,211,101	Issue Notification	04/11/2007

Examiner: /Diane Yabut/ (04/16/2008)

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /DY/

VIA eFILE  
Form PTO-1449

Applicant: Anthon Pantages et al.

Serial No.: 10/786,444

Filing Date: February 24, 2004

For: SHEATH APPARATUS AND METHODS FOR DELIVERING  
A CLOSURE DEVICE

Sheet 32 of 32  
Confirmation No.: 4902  
Att'y Docket No.: 16497.4.1  
Art Unit: 3734

### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

Examiner: /Diane Yabut/ (04/16/2008)

Date Considered:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /DY/